

J1131 U.S. PTO
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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 19903.0003

First Inventor or Application Identifier Khai Pham

Title SYSTEM AND METHOD FOR PROTECTING COMPUTER USERS
FROM WEB SITES HOSTING COMPUTER VIRUSES

Express Mail Label No

11/20/01

JCS71-4898606

APPLICATION ELEMENTS		ADDRESS TO
See MPEP chapter 600 concerning design patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 51] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 6]</p> <p>5. Oath or Declaration [Total Pages 3]</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
ACCOMPANYING APPLICATIONS PARTS		
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b)Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other:</p>		

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)
Prior application information. Examiner _____

of prior application No: _____ /
Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		23517				<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)
Name	Edward Pennington Swidler Berlin Shreff Friedman, LLP					
Address	3000 K Street, N.W. Suite 300					
City	Washington	State	D.C.	Zip Code	20007-5116	
Country	USA	Telephone	202 424-7500		Fax	202 295-8478
Name (Print/Type)	Michael A. Schwartz		Registration No. (Attorney/Agent)		40,161	
Signature			Date	November 20, 2001		

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1096

Complete if Known	
Application Number	
Filing Date	November 20, 2001
First Named Inventor	Khai Pham
Examiner Name	
Group / Art Unit	
Attorney Docket No.	19903 0003

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
195127, Order No. 19903.0003

Deposit Account Name
Swidler Berlin Shreff Friedman, LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES			
Large Entity	Fee Code	Fee (\$)	Small Entity
	105	130	205
	127	50	227
	139	130	139
	147	2,520	147
	112	920*	112
	113	1,840*	113
	115	110	215
	116	400	216
	117	920	217
	118	1,440	218
	128	1,960	228
	119	310	219
	120	310	220
	121	270	221
	138	1,510	138
	140	110	240
	141	1,280	241
	142	1,280	242
	143	480	243
	144	620	244
	122	130	122
	123	130	123
	126	180	126
	581	40	581
	146	740	246
	149	740	249
	179	740	279
	169	900	169
Fee Description			
Surcharge - late filing fee or oath			
Surcharge - late provisional filing fee or cover sheet.			
Non-English specification			
For filing a request for reexamination			
Requesting publication of SIR prior to Examiner action			
Requesting publication of SIR after Examiner action			
Extension for reply within first month			
Extension for reply within second month			
Extension for reply within third month			
Extension for reply within fourth month			
Extension for reply within fifth month			
Notice of Appeal			
Filing a brief in support of an appeal			
Request for oral hearing			
Petition to institute a public use proceeding			
Petition to revive - unavoidable			
Petition to revive - unintentional			
Utility issue fee (or reissue)			
Design issue fee			
Plant issue fee			
Petitions to the Commissioner			
Petitions related to provisional applications			
Submission of Information Disclosure Stmt			
Recording each patent assignment per property (times number of properties)			
Filing a submission after final rejection (37 CFR § 1.129(a))			
For each additional invention to be examined (37 CFR § 1.129(b))			
Request for Continued Examination (RCE)			
Request for expedited examination of a design application			
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ 0			

**or number previously paid, if greater, For Reissues, see above

SUBMITTED BY					
Name (Print/Type)	Michael A. Schwartz	Registration No. Attorney/Agent)	40,161	Telephone	202 424-7500
Signature	<i>Michael A. Schwartz</i>			Date	November 20, 2001

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